



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

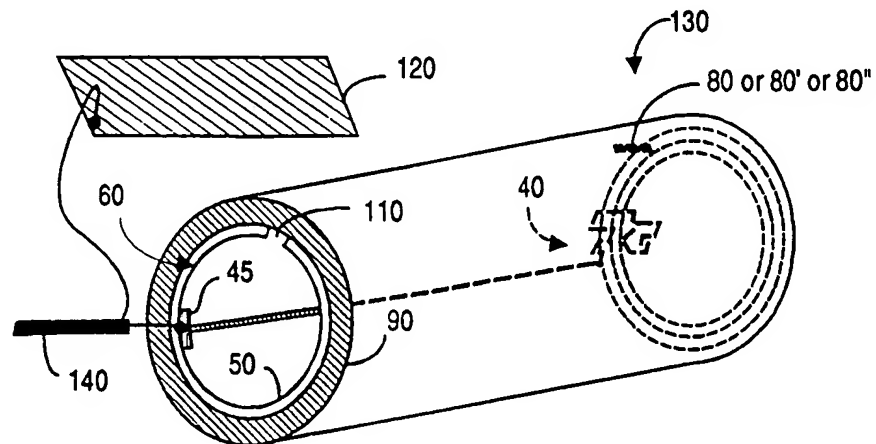
(51) International Patent Classification ⁶ : H01Q 1/24	A1	(11) International Publication Number: WO 99/27608 (43) International Publication Date: 3 June 1999 (03.06.99)
(21) International Application Number: PCT/US98/24794 (22) International Filing Date: 20 November 1998 (20.11.98) (30) Priority Data: 60/066,689 22 November 1997 (22.11.97) US (71)(72) Applicant and Inventor: COHEN, Nathan [US/US]; 2 Ledgewood Place, Belmont, MA 02178 (US). (74) Agents: CHICKERING, Robert, B. et al.; Flehr, Hohbach, Test, Albritton & Herbert LLP, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).	(81) Designated States: CA, CN, IL, JP, SG, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	

(54) Title: CYLINDRICAL CONFORMABLE ANTENNA ON A PLANAR SUBSTRATE

(57) Abstract

A cylindrically conformable antenna (130) is formed on a flexible substrate (60) and preferably comprises a complex pattern (40) coupled to the first feedline (45) and, spaced apart from the complex pattern (40), a patch (80) that floats electrically. The complex pattern (40) preferably is a fractal pattern, deterministic or otherwise, but need not be a fractal. The shape, size, and position of the patch (80) relative to the complex pattern (40), as well as the

complex pattern (40) itself, produces multiple frequency bands of interest. These bands may be varied by varying the relative parameters associated with the patch and complex pattern. The resultant antenna is substantially smaller than conventional antennas for the same frequency band, has a natural 50 ohm feed impedance and performs substantially as well as larger conventional antennas.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

CYLINDRICAL CONFORMABLE ANTENNA ON A PLANAR SUBSTRATE5 **RELATION TO PREVIOUSLY FILED APPLICATION**

Priority is claimed to applicant's U.S. provisional patent application serial number 60/066,689, filed '22 November 1997, and entitled "Cylindrical Conformable Antenna on a Planar Substrate".

10

FIELD OF THE INVENTION

The present invention relates to miniaturized antennas suitable for communication systems including cellular telephones and more particularly to reducing the size of
15 such antennas while still providing an acceptable antenna loading mechanism.

BACKGROUND OF THE INVENTION

Attempts have been made in the prior art to miniaturize
20 antennas for communications. Fig. 1A for example depicts an end-loaded shortened dipole antenna 10 with a meander-line counterpoise 20. A commercially available antenna 10 such as shown in Fig. 1A suitable for cellular telephony is marketed by Radio Shack Corp. The size of antenna 10 may be compared to the enlarged U.S. quarter,
25 shown in Figure 1B, the enlargement being the same for Figs. 1A and 1B. A common resonant frequency for the prior art antenna of Fig. 1A is about 870 MHz.

30 Fig. 1C depicts antenna 10 used with a cellular telephone 30. While antennas such as antenna 10 do function, they are several cm in length or must be pulled-out to a length of several cm. This length makes the antenna and/or cellular telephone (or other transceiver device)
35 somewhat vulnerable to breakage. Clearly a smaller version of a cellular telephone-type antenna would be bene-

As described in the following sections, fractal patterns are preferably used with the present invention. By way of further background, applicant refers to and incorporates herein by reference his PCT patent application
5 PCT/US96/13086, international filing date 8 August 1996, priority date 9 August 1995, entitled "Fractal Antennas and Resonators, and Loading Elements".

SUMMARY OF THE INVENTION

10 The present invention provides an antenna configuration comprising a flexible substrate having spaced-apart first and second surfaces. A conductive pattern is formed on the first surface, the pattern preferably defining complex geometry such as a fractal of first or higher iteration.
15 tion. One portion of the complex pattern defines a feedpoint to which RF energy may be coupled or received. (Preferably the other feedpoint will be a groundplane associated with the environment with which the antenna is used, for example the interior shell of a cellular telephone.)
20 The frequency characteristics of the antenna may be tuned by varying the iteration and/or shape of the fractal.

More preferably, tuning is facilitated by disposing a
25 conductive patch spaced-apart by about the substrate thickness from the complex pattern. The patch may be a small square or rectangle or other shape. The patch "floats" electrically in that it is not directly coupled to any feedline. Instead, the patch acts as a capacitive
30 load that can capacitively couple various locations in the complex pattern. The preferably dielectric substrate couples RF current through the substrate thickness. RF current in the complex pattern on the first surface differs in magnitude from location to location at the
35 through-substrate coupling regions.

On one hand, the complex geometry on the first surface contributes an inductive loading. On the other hand, the patch on the second surface contributes a capacitive loading. In combination, the two loading effects produce a monopole that is dimensionally small physically yet is an efficient radiator of RF energy and exhibits a multi-band frequency characteristic. Multiple frequency bands of interest may be produced and tailored by the size, configuration, and/or position of the patch relative to the complex pattern, as well as by the complex pattern itself. If desired, the patch can be formed on a separate layer of substrate that is slid or otherwise moved about relative to the location of the complex pattern, to tune characteristics of the antenna.

The preferably flexible substrate(s) may be partially rolled to form a semi-cylindrical or cylindrical shape. The conformally rolled substrate (with complex pattern and patch on the spaced-apart surfaces) may then be inserted into a cylinder and used to replace the "ducky" or "stubby" antenna commonly used in cellular telephone or transceiver applications.

Other features and advantages of the invention will appear from the following description in which the preferred embodiments have been set forth in detail, in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A depicts a miniaturized cellular telephone antenna, according to the prior art;

FIG. 1B depicts a U.S. quarter, enlarged to the same scale as the prior art antenna of Figure 1A;

FIG. 1C depicts a communications transceiver equipped with a prior art antenna such as that shown in Fig. 1A;

FIG. 2A depicts an exemplary complex pattern suitable for the present invention, here a first iteration Minkowski fractal;

5 FIG. 2B depicts another exemplary complex pattern suitable for the present invention, here a third iteration Sierpinski fractal ribbon;

10 FIG. 3A depicts a preferred embodiment of the present invention in a preliminary stage of formation;

FIG. 3B depicts the embodiment of Fig. 3A with the substrate partially rolled;

15 FIG. 3C depicts the embodiment of 3B with the substrate inserted within a cylindrical form;

20 FIG. 4A depicts a communications transceiver equipped with an external antenna, according to the present invention;

FIG. 4B depicts a communications transceiver equipped with an internal antenna, according to the present invention.

25

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

As will be described, the present invention comprises a substrate having first and second surfaces spaced-apart by the typically sub-mm substrate thickness. A complex pattern of conductive material is formed on the first surface, for example a first or higher iteration fractal pattern. Fig. 2A depicts an exemplary such pattern 40-A, namely a first iteration Minkowski fractal geometry having an RF feedpoint 45. Fig. 2B depicts another exemplary such pattern 40-B, here a third iteration Sierpinski ribbon, again with an RF feedpoint 45. For ease of com-

30

35

parison, the geometries of Figs. 2A and 2B are drawn to the same scale as what is depicted in Fig. 1A and 1B.

If fractal configurations are employed, other fractal patterns may include (without limitation) Koch, Cantor, torn square, Mandelbrot, Caley tree, monkey's swing, and Julia. Thus Figs. 2A and 2B depict but two exemplary complex patterns, but other patterns including deterministic and non-deterministic fractals, and non-fractal geometries may instead be used.

Fractal patterns comprise at least a first motif and a first replication of that first motif. Fractals of iteration greater than two may be defined as also including a second replication of the first motif such that a point chosen on a geometric figure represented by said first motif will result in a corresponding point on both the first replication and the said second replication of the first motif. Further, there will exist at least one non-straight line locus connecting each such point. The definition of a greater than first order fractal may be said to require that replication of the first motif is a change selected from a group consisting of (a) a rotation and change of scale of the first motif, (b) a linear displacement translation and a change of scale of said the motif, and (c) a rotation and a linear displacement translation and a change of scale of said the motif.

Turning now to Fig. 3A, complex pattern 40 (which is understood to include without limitation first or higher order fractals, (deterministic and non-deterministic) or non-fractal configurations is formed on first surface 50 of substrate 60. The pattern of Fig. 3A may also be described as a stubbed open-loop configuration.

Substrate 60 is preferably a dielectric material, for example mylar, polyester, etc. having a thickness of less

than 1 mm. In Fig. 3A, the length and width of dielectric substrate 60 are perhaps 18 mm x 12 mm, although other dimensions could instead be used.

5 Complex pattern 40 may be formed using a variety of techniques. Substrate 60 may for example be double-sided flexible printed circuit board, in which case pattern 40 may be formed using conventional pattern and etching techniques. Alternatively, pattern 40 could be printed
10 or sprayed or sputtered onto substrate 60 using electrically conductive paint. The advantage of using a fractal configuration for pattern 40 is that the effective area required for the pattern is reduced, although the perimeter length of the pattern is increased. A portion 45 of
15 pattern 40 is used as an RF feedpoint, whereat a lead from RF cable may be attached.

Two embodiments are shown simultaneously in Fig. 3A. In one embodiment, patch 80 is formed on second surface 70
20 of substrate 60. If patch 80 is rectangular in shape, typical dimensions for use at cellular telephone frequencies are perhaps about 10 mm x about 3 mm. Patch 80 is formed from electrically conductive material and may be created by depositing or spraying or painting
25 conductive paint (or the like), or by etching away from surface 70 all conductive material except patch 80. At noted, patch 80 floats in that no direct electrical connections are made to it. The geometry, size, and/or
30 location of patch 80 relative to complex pattern 40 is varied to alter characteristics of the overall antenna to be formed. In practice, the desired relationship between complex pattern 40 and patch 80 may be determined in a laboratory environment by trial and error. However once
35 determined, the resultant double-sided substrate configuration may then be mass produced at relatively low cost. Patch 80', for example, shows a different location relative to complex pattern 40 relative to patch 80. Thus,

if patch 80' is used, a different antenna characteristic can result than if patch 80 were instead used.

Note in Fig. 3A that an optional second substrate 90 is shown, whose upper surface 100 contains an electrically conductive patch 80". Assume now that neither patch 80 or 80' is present (although if desired, one or more such patches could be present). Patch 80" essentially abuts second surface 70 of substrate 60. In this embodiment, field tuning of the overall antenna can readily be accomplished by sliding substrate 90 relative to substrate 60, circularly and/or linearly as indicated by the two sets of double-arrowed lines. In this fashion, patch 80" can be oriented in an optimum location by moving one substrate relative to the other. Once an optimum location and/or orientation (e.g., rotary movement) is determined, the substrates can be secured one to the other using clamps, adhesive, or other attachment mechanisms.

In Fig. 3B, substrate 60 is shown in the process of being curved, which is one advantage of a flexible substrate. In this embodiment, a patch 80 is shown fabricated on second side 70 of the substrate. In Fig. 3C substrate 60 has been conformed to an almost closed cylindrical shape and is depicted as being inserted into a closed cylinder 90. A gap 110 may exist if substrate 60 does not close fully upon itself, but the presence or absence of such a gap is not important. A rolled or cylindrically shaped antenna system 130 lends itself readily to functioning as a substitute for the stub or ducky type antennas 10 used with communication transceivers 30, as depicted in Fig. 1C.

If desired, patch 80, 80', or 80" (or more than one patch) may in fact be formed on the interior surface of cylinder 90. This permits a mechanism for tuning the resultant antenna system 130, namely by rotating and/or

laterally moving substrate 60 relative to cylinder 90. For example, micro-threads might be formed such that substrate 60 screws into cylinder 90. A fine veneer mechanism may also (or instead) be formed to facilitate
5 fine tuning, if desired.

In Fig. 3C, a feedline 140 (e.g., 50 Ω coax) is shown coupled to feedpoint 45 and to a ground plane 120. In practice, ground plane 120 may be the interior shell of
10 the electronic device with which antenna 130 is used. For example, in the embodiment of Fig. 4A, the electronic device is a cellular telephone or transceiver 30 (which may be similar to that shown in Fig. 1C), and ground plane 120 may be a metal plate or perhaps metallic paint
15 sprayed on a portion of the interior housing of device 30.

In Fig. 4A, an antenna system 130 according to the present invention is shown protruding from the housing of
20 device 30. However in stark contrast to antenna 10 shown in Fig. 1C (whose overall length may be 70 mm), the overall length of antenna 130 will be perhaps 15 mm (for cellular telephone frequencies). Indeed, as shown in Fig. 4B, antenna 130 is sufficiently small to be mounted
25 inside the housing of device 30. As such, antenna 130 is immune to damage from being broken off device 30, in contrast to antenna 10 in Fig. 1C.

The present invention has been found to provide a natural
30 approximately 50 Ω feed impedance, thus obviating the need for matching transformers, stubs, or the like. Further, the present invention provides an omni-directional gain and bandwidth that is substantially identical to the performance of conventional antenna 10 in Fig. 1C,
35 notwithstanding that the present invention is substantially smaller than antenna 10.

Although the preferred embodiment has been described with respect to use with a cellular telephone communication system, those skilled in the art will appreciate that applicant's fractal antenna system may be used with other
5 systems, including without limitation transmitters, receivers, and transceivers.

Modifications and variations may be made to the disclosed embodiments without departing from the subject and spirit
10 of the invention as defined by the following claims.

WHAT IS CLAIMED IS:

1. An antenna system, comprising:
a substrate having first and second surfaces; and
a complex pattern of electrically conductive material formed on said first surface, a location on said complex pattern defining a feedline feedpoint;
wherein said complex pattern contributes an inductive loading effect to said antenna system, and said antenna systems exhibits multiple frequency resonant bands that are alterable by varying said complex pattern.
2. The system of claim 1, further including:
a patch adjacent said second surface and spaced-apart from said complex pattern, said patch formed from electrically conductive material and floating electrically;
wherein said patch contributes a capacitive loading effect to said antenna system;
wherein at least one characteristic of said antenna system is varied by at least one of orientation and size of said patch relative to said complex pattern.
3. The system of claim 2, wherein said patch has a characteristic selected from a group consisting of (a) said patch is formed on said second surface, and (b) said patch is formed on a first surface of a second substrate, said first surface of said second substrate being adjacent said second surface of said substrate.
4. The system of claim 2, wherein said complex pattern has at least one characteristic selected from a group consisting of (a) said complex pattern defines a deterministic fractal, (b) said complex pattern defines a non-deterministic fractal, (c) said complex pattern defines a first order fractal, (d) said complex pattern defines at least a second order fractal, and (e) said complex pattern does not define a fractal.

5. The system of claim 2, wherein said complex pattern defines at least a second order fractal and includes a portion having at least a first motif and a first replication of said first motif and a second replication of said first motif such that a point chosen on a geometric figure represented by said first motif will resulting in a corresponding point on said first replication and on said second replication of said first motif; wherein there exists at least one non-straight line locus connecting each said point; and

wherein a replication of said first motif is a change selected from a group consisting of (a) a rotation and change of scale of said first motif, (b) a linear displacement translation and a change of scale of said first motif, and (c) a rotation and a linear displacement translation and a change of scale of said first motif.

6. The system of claim 5, wherein said first motif is selected from a group consisting of (i) Koch, (ii) Minkowski, (iii) Cantor, (iv) torn square, (v) Mandelbrot, (vi) Caley tree, (vii) monkey's swing, (viii) Sierpinski gasket, and (ix) Julia.

7. The system of claim 2, further including a portable communications transceiver having a handholdable housing and operating with a frequency range of approximately 800 MHz to 900 MHz;

wherein said antenna system has an overall length less than about 20 mm and has a mounting configuration selected from a group consisting of (a) said antenna system is mounted internal to said housing, and (b) said antenna system is mounted external to said housing; and

wherein said substrate is formed into a cylinder such that said antenna has a cylindrical form factor.

8. The system of claim 2, further including means for mechanically moving said patch relative to said com-

plex pattern to alter one said characteristic of said antenna system.

5 9. A method of fabricating and tuning an antenna exhibiting multiple bands of resonance including at least one band in a frequency range of about 800 MHz to 900 MHz, the method comprising the following steps:

10 (a) forming a complex pattern of electrically conductive material on a first surface of a dielectric substrate;

(b) providing a location on said complex pattern as a feedpoint for a first lead of a feed cable to said antenna;

15 (c) disposing a patch of electrically conductive material spaced-apart by at least a thickness of said substrate from said complex pattern; and

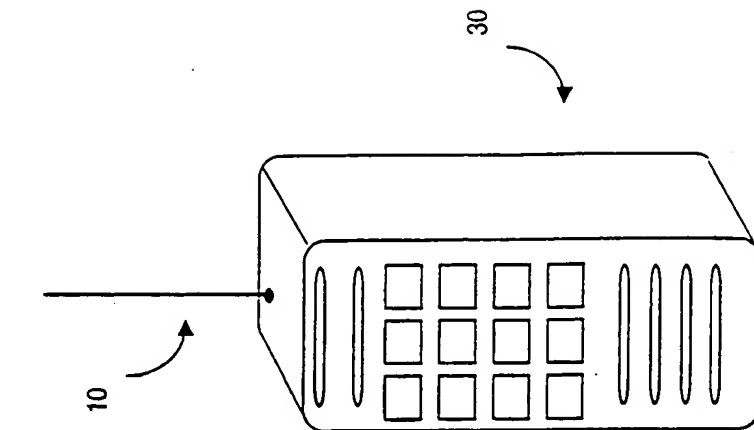
(d) tuning, at least preliminarily, said antenna to a desired band of resonant frequencies by changing orientation of said patch relative to said complex pattern.

20

10. The method of claim 9, wherein step (a) includes forming said complex pattern to define a fractal.

25 11. The method of claim 9, further including rolling said substrate into a cylinder such that said antenna has a generally cylindrical form factor.

1/3



**FIG. 1C
(PRIOR ART)**



FIG. 1B

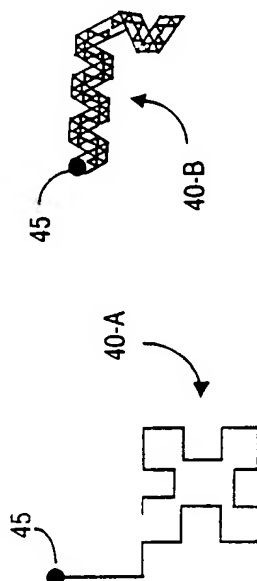
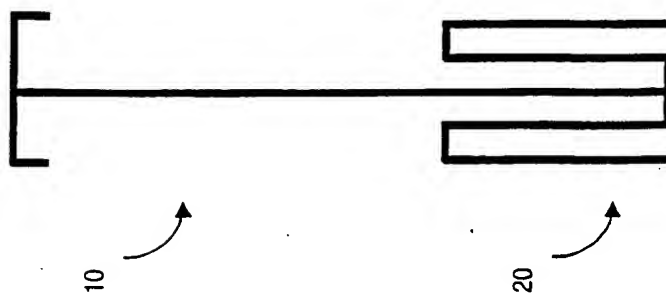


FIG. 2B

FIG. 2A



**FIG. 1A
(PRIOR ART)**

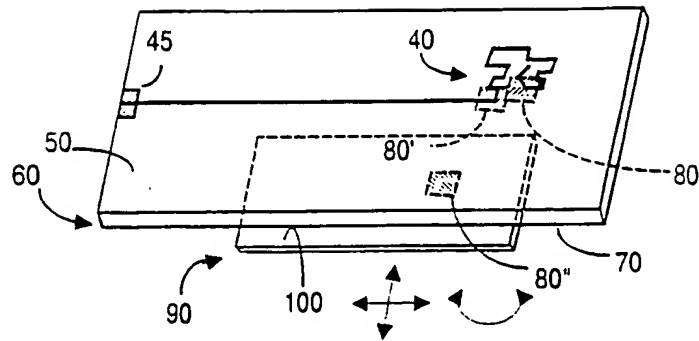


FIG. 3A

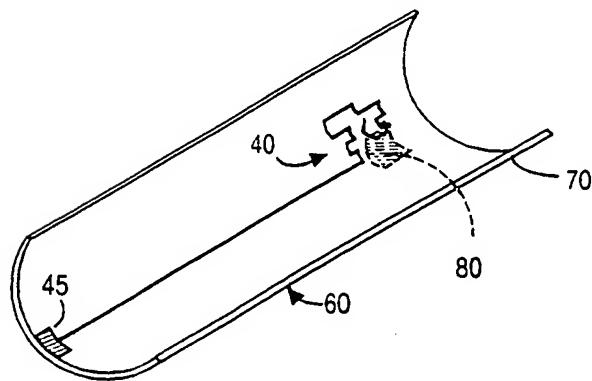


FIG. 3B

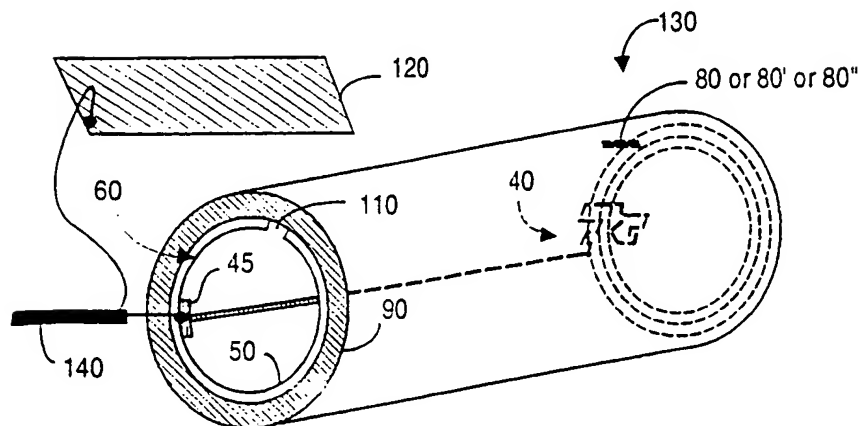


FIG. 3C

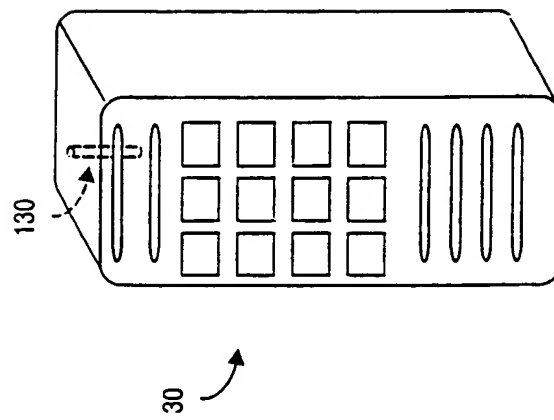


FIG. 4B

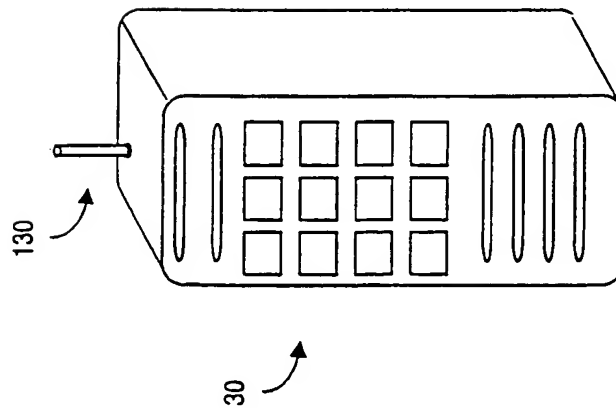


FIG. 4A

INTERNATIONAL SEARCH REPORT

International application No
PCT/US98/24794

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H01Q 1/24

US CL : 343/702, 700MS

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 343/702, 700MS, 846, 895

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, Derwent

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
A,E	US 5,844,525 A (HAYES et al.) 01 December 1998 (01.12.98) Fig. 1	1-8
A	US 5,355,318 A (DIONNET et al.) 11 October 1994 (11.10.94) col. 8, lines 58-61.	1, 9-11

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited document:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

11 JANUARY 1999

Date of mailing of the international search report

18 FEB 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JENNIFER H. MALOS / *J. Malos*
Telephone No. (703) 305-3409

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.